

Thank you for agreeing to serve as guardian of a minor. The court chose you because you were the best person for the job and appreciates the commitment you made.

This program was developed by the Guardianship/Vulnerable Adults Workgroup of the Maryland Judicial Council's Domestic Law Committee.

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## WELCOME

Part 1: Guardianship terms

Part 2: Role, powers, and duties

Part 3: Abuse, neglect, and exploitation

Part 4: Changes to the guardianship

This training will cover your general role and responsibilities as guardian of a minor.



There are key terms and roles that are important for you to understand as guardian of the person.

# GUARDIANSHIP

Guardianship is a legal proceeding in which the court appoints a person to manage the affairs of a minor's person, property, or both.

# MINOR Person under the age of 18 who is under guardianship. Also called: "Ward" Lacks the legal capacity to provide for his or her daily needs or manage financial affairs.

The person under guardianship is sometimes called a "ward."

The court is the ultimate	Monitors the guardianship
guardian	• Review reports
ou are its <b>agent</b>	<ul> <li>Address issue or problems</li> </ul>

In reality, the court is the minor's ultimate guardian. You are the person the court appointed as its agent to carry out its responsibilities as guardian. The court's job is to monitor the guardianship by reviewing reports and addressing issues or problems that come up.



There are two types of guardians:

**Guardian of the Person** – A guardian of the person is responsible for the day-to-day care of the minor including deciding where the minor lives, medical care, clothing, food, and education

**Guardian of the Property** – A guardian of the property oversees the minor's money, income, property of any kind, stocks and bonds, and other financial matters

• **Fiduciary** – A fiduciary is someone who has the primary legal duty to act for the benefit of another person. A guardian of the property is a type of fiduciary.

The court may appoint a guardian of the person, a guardian of the property, or both. The court may appoint the same person to serve as guardian of the person and property or different people.

The court may also appoint more than one person to serve as **co-guardians** and share the responsibilities of serving as a guardian of the person or guardian of the property.



The guardianship estate includes the minor's income, real or personal property, benefits, stocks, bonds, and investments.

# CLERK/TRUST CLERK/REGISTER OF WILLS

Court employee whose responsibilities may include:

Managing the guardianship case

Processing all case paperwork

Collecting fees

Providing court forms

Sending out notices

Answering questions about court process

**Reviewing reports** 

Reporting problems to the court

Register of Wills - Serves as the clerk for the Orphans' Court

	NTERESTED PERSONS
The	a law defines "Interested Persons" in a guardianship to include:
Th	e minor
•   • :	e minor's Parents Siblings (aged 18+) Heirs
A	gencies the minor receive benefits and services from
A	ny other persons named by the court

After appointment of a guardian, and throughout the guardianship, interested persons ask the court to resolve issues with the guardianship and are entitled to notification about certain guardianship events.

# PART 2: ROLE, POWERS, DUTIES

Role, powers, and duties Filing and reporting requirements



The court may give you the power to any of the following:

- **Provide food, shelter, clothing, and care for minor** This involves taking care of the minor's food, clothing, social, recreational, and training or educational needs.
- **Caring for the minor's personal items** This involves taking reasonable care of the minor's clothing, furniture, vehicles, and other personal items and taking steps to protect the minor's property if needed.
- Arrange for services and care This involves pursuing government or private services and resources that promote the well-being and safety of the minor. Some government benefits must be applied for and someone will need to apply for them on behalf of the minor. If the minor has a guardian of the property or another person handling his or her financial affairs, contact that person about applying for those benefits.
- Request funds for the minor's care from the guardian of the property (if one is appointed) This involves taking steps to compel a guardian of the property or representative payee to ask for the court's approval to use funds from the guardianship estate to pay for expenses related to the minor's care, comfort, and welfare. You will need to work with the guardian of the property or Representative Payee throughout the guardianship to meet and pay for the minor's needs.
- Give necessary consent or approval for medical or other professional care This
  involves coordinating and giving consent to allow the minor to receive medical or other
  professional care, treatment, counseling, or services.



There are certain actions you cannot take without prior court approval.

If you need to perform any of these acts, ask the court for permission in writing.

### Which form?

 Motion for Appropriate Relief-Guardianship Proceeding (CC-GN-032): https://www.courts.state.md.us/sites/default/files/import/family/forms/ccgn032.pdf

FILING AND REPORTING	REQUIREMENTS
<ul> <li>Annual Report – file each year within 60 days of appointment date         <ul> <li>Example:</li> <li>Date of Appointment</li> <li>March 1, 2017</li> </ul> </li> <li>Account Due </li> </ul>	Case No Name of minor Name of minor (Mathematic Not Patient No
<ul> <li>Use forms:</li> <li>Circuit Court Form CC-GN-013</li> <li>Orphans' Court Form: Form RW1342</li> <li>Complete the entire form</li> <li>Write "not applicable" in sections where you have no information to include</li> </ul>	ANNUAL REPORT OF
	own home     hospital or medical facility       foster or boarding home     relative's home

As guardian of the person, you must file an **Annual Report of Guardian of Minor each year within 60 days of the appointment date**. For example, if you were appointed as guardian on March 1, 2017, your first annual report would be due not later than April 30, 2018.

The court uses the report to monitor the minor's residence and well-being and to make sure you are performing your guardianship duties. If you do not file the Annual Report on time or fail to address problems with the report, you will be asked to explain why your report was not filed properly and explain why you should not be removed as guardian.

### Which form?

- Annual Report of Guardian of Minor (Circuit Court Form CC-GN-013): http://www.mdcourts.gov/family/forms/ccgn013.pdf
- Annual Report of Guardian of the Person (Orphans' Court Form RW1342): <u>http://registers.maryland.gov/main/forms/RW1342.pdf</u>

Complete the entire form. Write "not applicable" in sections where you have no information to include.

# **DECISION-MAKING**

Best interest of the Child Standard

**Medical Decisions** 

**Educational Decisions** 

Community Resources

As guardian of the person, you are responsible for making decisions that affect the safety, health, and well-being of the minor. Some decisions will be easy, but some may be more difficult.



As guardian of the person, you are responsible for making decisions that affect the safety, health, and well-being of the minor. Some decisions will be easy, but some may be more difficult. You must engage in a specific thought process for every decision made.

Using the best interest of the child standard means weighing the benefits against the burdens an act or decision will have on the minor. You should consider all possibilities and choose the option that has the most benefit and would result in the least harm for the minor.

Also consider how decisions about your life will affect the minor. For example, if you move to another school district, consider the disruption that move will have on the minor's personal, social, academic life and take steps to ease the transition.



As guardian of the person, you must give informed consent for the minor to receive care, treatment, or services. This means that you need to know and understand the purpose, risks, benefits, and alternatives to any treatment or service you are considering for the minor. Your consent must also be given freely and without coercion or undue influence from others.

**TIP:** While it is good to get input from others, be careful about what information about the minor and about his or her condition you share with others.

Be sure to consult with the minor's guardian of the property or Representative Payee (if any) to cover any expenses related to the care of the minor. Remember that the court must approve all expenses before they are paid out of the guardianship estate.

If the minor has a surviving parent who pays child support for the minor, you may be entitled to those payments as the minor's guardian. To collect these payments, contact the Maryland Child Support Administration (<u>http://dhr.maryland.gov/child-support-services/</u>), an attorney, or the Maryland Courts Self-Help Centers (410-260-1392 or <u>www.mdcourts.gov/selfhelp</u>) to learn about your options.



**Medical Insurance** – If you plan to cover the minor under your health insurance plan, notify your employer or the carrier about adding the minor as a dependent.

If the minor cannot get medical insurance through you or another source, he or she may eligible for coverage through the state. A local **Department of Social Services (DSS)** can help you determine what benefits the minor is entitled to. To find your local DSS office, call 1-800-322-6347 or visit: <u>http://dhr.maryland.gov/local-offices/</u>.

You are not expected to be a medical expert but you are expected to be the minor's advocate. As guardian, it is your right to ask questions, get second opinions, and to have information explained to you in way that allows you to make informed decisions. Ask specific questions about the benefits and risks associated with the starting, stopping, or withholding of treatment. Ask about alternatives to proposed treatments. Seek the guidance of medical advocates or social workers who can help you make an informed decision.

**TIP:** As an advocate for the minor, make sure that the experts are aware of and understand the minor's history, current condition, and anything else that is important for them to know when recommending medical care. If you do not have this information, put the expert in touch with someone who does.

Before making any medical decision for the minor, gather all the facts and information needed to make an intelligent decision about the minor's care. Make sure you understand all the benefits and risks associated with a particular treatment and that you are not being pressured by someone else to make a particular decision.



If the minor is already enrolled in a school, notify the school's administrator about your appointment as the guardian. Make sure the school always has your correct contact information.

Like a parent, you should keep track of the minor's academic performance, meet with teachers, and provide other academic support. If the minor had a guardian of property, you may be able to have costs for tutors, psychoeducational testing, extracurricular activities, or other educational expenses paid for or reimbursed out of the guardianship estate. The guardian of the property must get approval from the court to use any guardianship funds.

If the minor is not enrolled in school or needs to change school districts, notify the school of your appointments as guardian.

Remember, you are to act in the best interest of the minor when making educational decisions.



As guardian of the person, it is your responsibility to learn about and take advantage of services and resources available through federal, state, county, and private agencies.

### **Program Eligibility**

Some service programs provide services regardless of ability to pay, while other may be means-based or have other eligibility requirements. Some services may be payable through government programs the minor receives benefits from such as Medicare, the Social Security Administration, or U.S. Department of Veterans Affairs.

If the minor does not receive benefits through one of these government programs, he or she may be eligible for them if he or she meets specific eligibility requirements. A local **Department of Social Services (DSS)** can help you determine what benefits the minor is entitled to and access medical, cash, and other public assistance. To find your local DSS office, call 1-800-322-6347 or visit: <u>http://dhr.maryland.gov/local-offices/</u>.

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Online directory	MARYLAND	MDCSL Maryland Community Services Loca	ator	Search 🖉 Email Friend 🔔 print pa
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• Food	MDCSL	Maryland Community Service	s Locator (MDCSL)	Governor Larry Hugan
Clothing	NewsBytes FAQ's MDCSL Materials	CESAR at the University of Maryland, College Par health, social service and criminal justice resource Maryland Community Services Locator can provide	programs in Maryland using this tool. The	LL.Governey Boyd K. Rutherford
<ul> <li>Medical and mental</li> </ul>	MANAGE A LISTING	driving directions to programs!	e yeu min service mornianuri, mapa, ana	facebook Ewitter video
health care	Add a Listing Update a Listing	Finding the services you need can	be done in three easy steps.	Stay Connected
Education resources	Confirm a Listing RESOURCE INFO	STEP 1: Enter a location.		<ul> <li>Next webinar: July 20 from 10 30- 11 15am</li> <li>Sign up for the MDCSL email list</li> </ul>
<ul> <li>Recreation resources</li> </ul>	Resource Links	Search by address, city/state and/or zip code.	Search by county	Find events in your community
<ul> <li>Family support</li> </ul>	Directories Resource Events	Address or Intersection	County Select County •	🗣 Favorites
• Job	Hotlines Transportation Links	City or Town:	( Press and Pres	
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• More	Behavioral Health Administration	MD		Locator (For use in Maryland Correctional Facilities only)
More	Behavioral Health System Baltimore	Show locations within: 10 miles •		Select Language •
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The Maryland Community Service Locator is an online resource directory you can use to find services for the minor.

COMMUNITY RESOURCES	
Health care	
n-home aide services for minors with disabilities	
Mental and behavioral health resources	
Legal advocacy	
Public benefit eligibility	
Social, recreational, and educational programs	
Crisis intervention	
Information and referrals to community services and resources	
	*Program Eligibility

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People under guardianship are vulnerable and often targeted because of their age, physical, intellectual, or emotional limitations, and their dependence on others for basic needs. Depending on the person under guardianship's age or disability, he or she may not be able to communicate if they are being hurt or be less able to recognize and avoid danger. If they are being hurt by a caregiver, spouse, or child, they may not report abuse because they are afraid of what will happen to them or to protect the abuser.

Victims of crime often suffer physical, psychological, and financial injuries from which it can be difficult to recover from. As guardian, you are in a unique position to help identify when the person under your care is being harmed.

There are signs or indicators that someone is being abused that may be explained by other causes. It is important to keep in mind that a single indicator is usually not proof of abuse, so be on the lookout for patterns or suggestions that there might be a problem.

### Guardian Video Series: Abuse, Neglect, & Exploitation



Physical abuse is the use of physical force that may result in bodily injury, physical pain, or impairment. It includes:

- Hitting, beating, pushing, shoving, shaking, and slapping
- Kicking, pinching, choking, pulling hair, and burning
- Inappropriate use of drugs or physical restraints
- Force-feeding, reckless driving, and physical punishment

### Signs include:

- A specific complaint of mistreatment
- Unexplained or poorly-explained injuries, such as fractures, sprains, dislocations, or bleeding
- Bruises, bites, cuts, sores, burns, scars, or head trauma
- Sudden change in behavior such as withdrawal, aggression, regression, depression, substance abuse, attempts to escape, wariness of physical contact, or fear of certain places
- Sudden weight loss, tooth loss, or hair loss
- If the victim and the people around him or her have different explanations for the cause of injuries
- The victim seems afraid of or wants to avoid a particular person
- Frequent or suspicious hospitalizations, or if there are delays between the on-set of an injury and seeking medical care

### **Guardian Video Series: Types & Signs of Abuse**



Sexual abuse is nonconsensual sexual contact of any kind. It includes rape, molestation, and any sexual conduct with a person who is unable to consent.

Most victims of sexual abuse are women. But men may also be victims. Children and people who have physical or cognitive disabilities are particularly vulnerable.

Signs of sexual abuse include:

- A specific complaint by the victim
- Report of genital or anal pain, irritation, or bleeding, difficultly walking or sitting, torn, stained, or bloody underclothing, and sexually transmitted diseases
- Behavior changes including inappropriate, unusual, or aggressive sexual behavior, bed wetting, and changes in sleep patterns (over- or under-sleeping and nightmares)
- Victim suddenly afraid of the dark, avoids undressing, or wears extra layers of clothing

### **Guardian Video Series: Types & Signs of Abuse**



Emotional abuse is the infliction of pain, anguish, or distress. Sometimes, it's called psychological abuse.

Someone may cause emotional abuse by using verbal assaults, insults, or threats. Emotional abuse also includes:

- Intimidation, humiliation, and harassment
- Isolating a person from his or her family, friends, or regular activities

Signs of emotional abuse include:

- A specific disclosure by the victim
- Significant weight loss or gain, depression, confusion, agitation, and withdrawal
- Unexplained rashes, hives, facial tics, or stomach aches
- Nervous habits such as biting, rocking, or head-banging, or bedwetting and thumb sucking

### **Guardian Video Series: Types & Signs of Abuse**



Perpetrators of abuse could be anyone. Most victims of abuse are harmed by someone they know including family members, acquaintances, dating partners, or caregivers.

### **Guardian Video Series: Types & Signs of Abuse**



Neglect is a caregiver's refusal or failure to provide for a person's basic needs. Basic needs include food, water, clothing, shelter, personal hygiene, medication, comfort, or personal safety.

**Passive neglect** occurs when a caregiver is unable to fulfill his or her obligations to another person because of illness, disability, stress, or lack of resources.

Intentional neglect occurs when a caregiver is able to fulfill obligations, but refuses to do so.

Neglectors may be family members, paid caregivers, or employees of care facilities.

Signs of neglect include:

- Poor hygiene, including lice, scabies, severe or untreated rashes or wounds, or bed sores
- Malnutrition or dehydration
- Hazardous or unsafe conditions such as exposed or improper wiring, no heat, or poor plumbing
- Unsanitary conditions, dirt, fleas, bed bugs, lice, soiled bedding, and fecal or urine smell
- Unsuitable or missing clothing or a lack of basic medical care or medications
- Indications of exposure to the elements, such as excessive sunburn, insect bites, or cold
- Behaviors that aren't age-appropriate, such as bed wetting, wetting, or soiling

### **Guardian Video Series: Signs of Neglect**



If the person is in immediate danger, call 911.

If you are concerned someone is a victim of abuse, neglect, or exploitation but cannot verify the details:

- If the person is under the age of 18, call the local Child Protective Services office
- If the person is over the age of 18, call the local Adult Protective Services office

Child protective services and adult protective services staff are professionals who are trained to investigate concerns about the safety or well-being of children and vulnerable adults. They offer services and support to help prevent or reduce the risk of someone being abused or neglected.

It is the job of police and protective services professionals to investigate allegations of abuse and neglect. If you think someone is being harmed, do not attempt to "investigate" or question potential suspects or witnesses on your own.

### **Guardian Video Series: Responding to Abuse or Neglect**



You may not see the person under guardianship every day, but your visits are important. Preventing abuse and neglect requires an efficient use of your time with a person under guardianship. Keep records of your visits. Track changes in the person's behaviors and living conditions. Keep notes on the people in the person's life (those records may be the key to preventing problems).

When visiting with the person under guardianship, ask yourself:

- Does he or she report that they are not receiving proper care?
- Is the money paid to caregivers or service providers being used as it should be?
- Are the person's clothing, housing, and food needs being met?
- Is the has been going to the hospital or doctor's offices for frequent or unexplained injuries?
- Are there conflicting accounts about what caused injuries?

Keep track of changes in the person's behavior, physical appearance, or physical surroundings.

- Does he or she report being harmed or not feeling safe?
- Does the person have any injuries that are not explained or for which there are conflicting explanations?
- Does he or she seem depressed, scared, agitated, or withdrawn?
- Is clothing weather-appropriate and clean?
- Is hygiene good?
- Is the living space clean and safe?

Keep track of people in the person's life.

- Does he or she report being harmed by someone?
- Is he or she afraid of someone?
- Are there new people influencing the person under guardianship?
- Is he or she reluctant or unwilling to see certain family members or service providers?
- Is anyone interfering with your ability to speak with the person alone or with the person's relationships with trusted family, friends, or service providers? Is anyone acting aggressively toward the person?

### **Guardian Video Series: How to Prevent Abuse & Neglect**

# PART 4: CHANGES TO THE GUARDIANSHIP

Termination

Resignation

Removal

End of Appointment



The guardianship can terminate (end) when the minor turns 18, is emancipated because of marriage, dies, or upon court approval of a petition to terminate the guardianship filed by a parent. It is your responsibility to notify the court when one of these events occurs.

Within **45 days** of after the minor turns **18**, dies, or is emancipated you must file a **Petition for Termination of Guardianship**. The petition must include:

- Your interest in the guardianship estate
- The name and address of all interested persons entitled to notice
- If the minor turned 18, a copy of his or her birth certificate or other proof of age.
- If the minor died, a copy of the death certificate.
- If the minor became emancipated because of marriage, a copy of the marriage certificate.
- Attach a final Fiduciary's/Guardian's Account covering the period not reported in the last account filed, or, if none, from the date you were appointed as guardian of the property.

After the court receives your petition, it may issue a **show cause order**. You must mail a copy of the petition and the show cause order to all interested persons as the court directs. The court will hold a hearing and decide whether to terminate the guardianship.

If the minor has a disability and still needs a guardian of the person after age 18, a petition for guardianship of an alleged disabled person should be filed in the Circuit Court in the county where the minor lives.

### Which form?

- Petition for Termination of Guardianship of the Person (CC-GN-028): <u>https://www.courts.state.md.us/sites/default/files/court-forms/ccgn028.pdf</u>
- Petition for Termination of Guardianship of the Property (CC-GN-029): <u>https://www.courts.state.md.us/sites/default/files/court-forms/ccgn029.pdf</u>
- Fiduciary's Account (CC-GN-012): <u>https://www.courts.state.md.us/sites/default/files/import/family/forms/ccgn012.pdf</u>
- Guardian's Account (Orphans' Court Form RW1320): http://registers.maryland.gov/main/forms/RW1320.pdf

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The court understands that circumstances change and you may no longer be able to serve as guardian. If you are no longer able to fulfill your responsibilities you can resign as guardian. To resign, you must file a petition to resign with the court that includes your reasons for resigning. In your petition, you may request the appointment of a substituted or successor guardian.

After the court receives your petition, it may issue a show cause order. You must mail a copy of the petition and the show cause order to all interested persons as the court directs.

Your request for resignation is not automatic. The court may hold a hearing.

Your appointment as guardian does not end until the court enters an order accepting your resignation. Until your appointment is terminated, you must continue to fulfill your responsibilities as guardian.

### Which form?

- Petition for Resignation of Guardian of the Person and Appointment of Substituted or Successor Guardian (CC-GN-026): <u>https://www.courts.state.md.us/sites/default/files/court-forms/ccgn026.pdf</u>
- Petition for Resignation of Guardian of the Property and Appointment of Substituted or Successor Guardian (CC-GN-027): <u>https://www.courts.state.md.us/sites/default/files/court-forms/ccgn027.pdf</u>
- Consent of Substituted of Successor Guardian (CC-GN-030) <u>https://www.courts.state.md.us/sites/default/files/import/family/forms/ccgn030.pdf</u>
- Fiduciary's Account (CC-GN-012): <u>https://www.courts.state.md.us/sites/default/files/import/family/forms/ccgn012.pdf</u>
- Guardian's Account (Orphans' Court Form RW1320): http://registers.maryland.gov/main/forms/RW1320.pdf



If the court is not satisfied with your performance as guardian, it can order you to show cause why you should not be removed or subject to sanctions. If an interested person is dissatisfied with your performance as guardian, he or she can also file a petition for substituted or successor guardian. The interested person must show cause as to why the court should appoint a substituted or successor guardian.

The court will hold a hearing to determine whether you should be removed as guardian, required to perform your neglected duties, or subjected to other sanctions.

If you are removed guardian, you must file a final Fiduciary's/Guardian's Account covering the period not reported in the last account filed, or, if none, from the date you were appointed as guardian of the property.

Your appointment as guardian does not end until the court enters an order to remove you as guardian. Until then, you must continue to fulfill your responsibilities as guardian.

### Which form?

- Fiduciary's Account (CC-GN-012): <u>https://www.courts.state.md.us/sites/default/files/import/family/forms/ccgn012.pdf</u>
- Guardian's Account (Orphans' Court Form RW1320): <u>http://registers.maryland.gov/main/forms/RW1320.pdf</u>

# END OF APPOINTMENT

Rights and responsibilities end upon termination Does not discharge you from liability for wrongful acts

Once your appointment as guardian ends, you no longer have the rights or powers of a guardian. The end of your appointment does not discharge you from liability for any wrongful acts during the appointment.

# QUESTIONS?

Visit: www.mdcourts.gov/guardianship

Forms

Videos

Resources



### Which form?

• Certificate of Completion - Guardian Orientation and Training (CC-GN-031): https://www.courts.state.md.us/sites/default/files/import/family/forms/ccgn031.pdf